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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (To be used for all correspondence after initial filing)	Application Number	09/695,748
	Filing Date	October 24, 2000
	First Named Inventor	George W. Keilman
	Group Art Unit	3737
	Examiner Name	
Total Number of Pages in This Submission		Attorney Docket No. 870146.402D1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> General Authorization under 37 C.F.R. § 1.36(a)(3) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD(s), Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below): Return Receipt Postcard _____ _____ _____ _____ _____
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Individual Name/ Firm Name	Brian L. Johnson	 00500 PATENT TRADEMARK OFFICE
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : George W. Keilman and George E. Cimochoowski  
Application No. : 09/695,748  
Filed : October 24, 2000  
For : ENDOLUMINAL IMPLANT WITH THERAPEUTIC  
AND DIAGNOSTIC CAPABILITY

Art Unit : 3737  
Docket No. : 870146.402D1  
Date : February 21, 2001

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the 39 references set forth on the attached Form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/028,154, filed February 23, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed

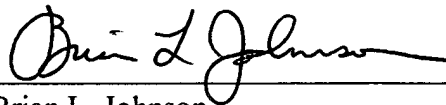
relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

George W. Keilman and George E. Cimochofski

Seed Intellectual Property Law Group PLLC



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Brian L. Johnson

Registration No. 40,033

BLJ:wt

Enclosures:

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Form PTO-SB/21

Form PTO-1449

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
870146.402D1APPLICATION NO.  
09/695,748**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

## APPLICANTS

George W. Keilman and George E. Cimoichowski

## FILING DATE

October 24, 2000

## GROUP ART UNIT

3737

**U.S. PATENT DOCUMENTS**

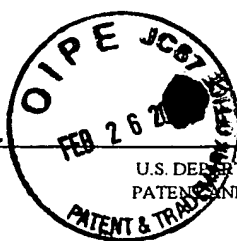
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,020,830	05/03/77	Johnson et al.	128	2E	
	AB	4,218,298	08/19/80	Shimada et al.	204	195 M	
	AC	4,331,654	05/25/82	Morris	424	38	
	AD	4,383,529	05/17/83	Webster	604	20	
	AE	4,411,648	10/25/83	Davis et al.	604	21	
	AF	4,416,274	11/22/83	Jacobsen et al.	604	20	
	AG	B1 4,020,830	09/04/84	Johnson et al.	128	635	
	AH	4,484,569	11/27/84	Driller et al.	128	660	
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	AK	4,689,986	09/01/87	Carson et al.	73	19	
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	AP	5,445,608	08/29/95	Chen et al.	604	20	
	AQ	5,490,840	02/13/96	Uzgiris et al.	604	22	
	AR	5,499,971	03/19/96	Shapeland et al.	604	53	
	AS	5,694,936	12/09/97	Fujimoto et al.	128	660.03	
	AT	5,749,914	05/12/98	Janssen	607	116	
	AU	5,807,258	09/15/98	Cimoichowski et al.	600	454	
	AV	5,810,871	09/22/98	Tuckey et al.	606	198	
	AW	5,833,603	11/10/98	Kovacs et al.	600	317	

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FORM PTO-1449  
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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
870146.402D1APPLICATION NO.  
09/695,748

## INFORMATION DISCLOSURE STATEMENT

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## APPLICANTS

George W. Keilman and George E. Cimoichowski

## FILING DATE

October 24, 2000

## GROUP ART UNIT

3737

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AX	Pethig, R., <i>Dielectric and Electronic Properties of Biological Materials</i> , Wiley Press, Chichester, 1979, Chapter 7, "Biological Membranes and Tissue," pp. 207-243.
AY	Knutti et al., "Integrated Circuit Implantable Telemetry Systems," <i>Eng. in Med. and Bio. Magazine</i> , 2(1):47-50, March 1983.
AZ	Umemura et al., "Sonochemical Activation of Hematoporphyrin: A Potential Modality for Cancer Treatment," in <i>Ultrasonics Symposium Proceedings, IEEE</i> , 1989, pp. 955-960.
BA	Zierhofer, C.M. and E.S. Hochmair, "High-Efficiency Coupling-Insensitive Transcutaneous Power and Data Transmission Via an Inductive Link," <i>IEEE Trans. Biomed. Eng.</i> , 37(7):716-722, July 1990.
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BE	Erickson, Katherine A. and Peter Wilding, "Evaluation of a Novel Point-of-Care System, the i-STAT Portable Clinical Analyzer," <i>Clinical Chem.</i> 39(2):283-287, 1993.
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BG	Shults et al., "A Telemetry-Instrumentation System for Monitoring Multiple Subcutaneously Implanted Glucose Sensors," <i>IEEE Trans. Biomed. Eng.</i> 41(10):937-942, October 1994.
BH	Andle, J.C. and J. F. Vetelino, "Acoustic Wave Biosensors," in <i>IEEE Ultrasonics Symposium</i> , June 1995, pp. 451-460.
BI	Welsh et al., "Iontophoretic Drug Delivery System," <i>Semin. Intervent. Cardiol.</i> 1:40-42, 1996.
BJ	Wilensky, Robert L. and Keith L. March, "Microspheres," <i>Semin. Intervent. Cardiol.</i> 1:48-50, 1996.
BK	Umemura et al., "Effect of Second-Harmonic Phase on Producing Sonodynamic Tissue Damage," in <i>IEEE Ultrasonics Symposium Proceedings, Vol. 2</i> , San Antonio, Texas, November 3-6, 1996, pp. 1313-1318.
BL	"Technical Report: Drug and Gene Delivery," <i>ImaRX Pharmaceutical Corp.</i> , July 1997.
BM	Tachibana, K. and Tachibana, S., "Prototype Therapeutic Ultrasound Emitting Catheter for Accelerating Thrombolysis," <i>J. Ultrasound Med.</i> 16:529-535, 1997.

EXAMINER

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